

Appl. No. 09/870,567
IDS Dated 12 July 2004

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I hereby certify that this correspondence is being facsimile transmitted to the Central Facsimile Number (703) 872-9306 of the United States Patent and Trademark Office on 12 July 2004 (Date). (5 pages total)
Typed or printed name: Ann M. Agosti.
Signature: Ann M. Agosti

Appl. No. : 09/870,567
Applicant : K.R. Weeber et al.
Filed : 1 June 2001
Title : Slip Ring Brush Assembly and Method
TC/A.U. : 2834
Examiner : Nguyen, Tran N.
Docket No. : RD26121-5
Customer No. : 6147

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT – REQUEST FOR CONSIDERATION

In responding to an Office action of 16 April 2004, Applicant noted that the Examiner had not acknowledged consideration of Applicant's two Information Disclosure Statements or provided a USPTO form 892 for the reference cited in the Office Action of 16 April 2004.

In Studying the Statements, Applicant notes that the first IDS was submitted at a point in time when Examiners were accepting photocopies of 1449s and 892s from parent applications, but that Examiners in Art Unit 2800 have since started requiring the lists to be retyped.

The first sheet is an attachment of a retyped version of the lists submitted on 29 May 2001. No copies were submitted due to each of the references being cited in the parent application from which the present application claimed priority. Due to the format of the original lists, Applicants are authorizing the fee under 37 CFR 1.17(p) below. The second sheet is an attachment of a retyped version of the list submitted on 6 September 2002. Copies were submitted on 6 September 2002. The third sheet includes the US patent reference which was cited in the Office Action of 16 April 2004. The last sheet includes the US patent references which were on form 892 of the Office Action of 6 December 2001.

This paper is submitted in accordance with: 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and the fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in the paragraph below.

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868.

Respectfully submitted,

By Ann M. Agosti
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(copies provided in parent and not required to be resubmitted under 35 CFR 1.98(b))

| Form PTO-1449 (modified) | | | | ATTY. DOCKET NO. RD26121-5 | | SERIAL NO. 09/870,567 | |
|--|-----------|--|------------|--------------------------------------|-------|---------------------------------|----------------------------|
| List of Patents and Publications For Applicant's Information Disclosure Statement | | | | APPLICANT K.R. Weeber et al. | | | |
| | | | | FILING DATE 11 June 2001 | | GROUP 2834 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAM. INIT. | REF. DES. | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | A1 | 5490198 | 2/6/96 | Gerling | 378 | 131 | 4/17/95 |
| | A2 | 5742515 | 4/21/98 | Runkle et al. | 364 | 494 | 4/21/95 |
| | A3 | 5285125 | Feb., 1994 | Lang | 310 | 240 | |
| | A4 | 5549019 | Aug., 1996 | Cattani | 74 | 573 | |
| | A5 | 5976047 | Nov., 1999 | Woytaszek | 310 | 112 | |
| | A6 | 6012563 | Jan., 2000 | Aoki et al. | 192 | 84 | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| EXAM. INIT. | REF. DES. | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION |
| | A7 | 1157885 | Jul., 1969 | Great Britain | | | |
| OTHER ART (Author, Title, Journal, Volume, Pertinent Pages, & Date) | | | | | | | |
| | A8 | Westinghouse Electric & Manufacturing Co., "Circular No. 1028", Apr. 1903. | | | | | |
| | A9 | A. M. Dudley, "Connecting Induction Motor", p. 204, Jan. 1921. | | | | | |
| | A10 | M. E. Hayes, "Current Collecting Brushes In Electrical Machines", pp. 124-127, Jan. 1947. | | | | | |
| | A11 | Ralph R. Lawrence, "Principles of Alternating-Current Machinery", p. 161, Jan. 1953. | | | | | |
| | A12 | Michael Liwschitz-Garik and Clyde C. Whipple, "Alternating Current Machines", Apr. 1961 (1st Edition Sep. 1946), pp. 119, 261. | | | | | |
| | A13 | Brown Boveri Review, "The Rotary Transformer", Sep. 1967, pp. 554-564 | | | | | |
| EXAMINER | | | | DATE CONSIDERED | | | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

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(copies were provided when list was submitted)

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| | | | | APPLICANT K.R. Weeber et al. | | | |
| | | | | FILING DATE 11 June 2001 | | GROUP 2834 | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAM. INIT. | REF. DES. | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | B1 | 788049 | Apr., 1905 | Johnson | | | |
| | B2 | 3060397 | Oct., 1962 | Mims et al. | | | |
| | B3 | 3903442 | Sept. 1975 | Krulls | | | |
| | B4 | 6281610 | Aug. 2001 | Kliman et al. | | | |
| | B5 | 3375479 | Nov. 1995 | Lowe | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| EXAM. INIT. | REF. DES. | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION |
| | B6 | 937244 | Dec. 1955 | Germany | | | Yes |
| | B7 | 1247461 | Aug. 1967 | Germany | | | Yes |
| OTHER ART (Author, Title, Journal, Volume, Pertinent Pages, & Date) | | | | | | | |
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[REDACTED] (cited in office action but not on form 892)

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| EXAM. INIT. | REF. DES. | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | C1 | 6356002 | Mar. 2002 | Witherspoon et al. | 310 | 232 | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| EXAM. INIT. | REF. DES. | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION |
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| OTHER ART (Author, Title, Journal, Volume, Pertinent Pages, & Date) | | | | | | | |
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(there were so many lists that Applicant thought it might make sense to have all in one document)

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| EXAM. INIT. | REF. DES. | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | D1 | 5869942 | 2/1999 | Miller et al. | 318 | 483 | |
| | D2 | 4895536 | 1/1990 | Gingerich et al. | 439 | 885 | |
| | D3 | 4644206 | 2/1987 | Smith | 310 | 115 | |
| | D4 | 5814915 | 9/1998 | Wang | 310 | 242 | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
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